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**A Sketch Planning Approach to Highway Safety Manual Based Crash Prediction Methods
Using Road Safety Audit Data in Saudi Arabia**

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Word Count: 4799 + 2000 (6 Figures + 2 Tables) = 6799

Prepared for the
Transportation Research Board Annual Meeting 2017 Submitted August 01, 2016

48 **ABSTRACT**

49 Recently a comprehensive traffic safety study for the two main corridors was conducted in Eastern
50 Province of Saudi Arabia. The main aim of the study was to analyze the causes of various traffic
51 safety related issues in the Eastern Province. This was done with regards to traffic crashes with
52 fatalities in-particular; some of the methods employed included collecting data on traffic volumes,
53 highway speeds, travel times, traffic crashes, and conducting the road safety audit. These methods
54 helped develop several countermeasures which targeted to reduce crashes and the severity levels
55 associated with them, and hence improve the overall traffic safety situation in the area. This paper
56 explains a sketch planning approach for the application of Highway Safety Manual (HSM) based
57 crash prediction methods using road safety audit data, to help estimate reduction in crashes due to
58 proposed improvements. The selection of the two corridors was to best represent the transportation
59 network in the Eastern Province which consists of several different types of facilities including
60 urban, suburban, and rural roads. Comprehensive road safety audit was performed on two corridors
61 and the data collected thereof was archived into reporting templates. After thorough evaluation of
62 the data collected in the safety audit process, improvements to root causes of traffic safety concerns
63 were recommended; the HSM based crash prediction methods were applied to see the effectiveness
64 of the improvements suggested. Reports were prepared for each of the data collection items. While
65 the results show reduction in traffic crashes based on improvements to the corridors, this approach
66 could contribute in its true essence when applied in combination with several other traffic safety
67 improvement strategies.

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78 **Keywords:** Highway Safety Manual, Crash Prediction Methods, Saudi Arabia, Road Safety Audit

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91 INTRODUCTION

92 Traffic crashes are one of the most common reasons that kill so many people in Kingdom of Saudi
93 Arabia (KSA). The crashes could be caused by drivers' behavior, improper designs of the roads,
94 or a combination of flaws in overall traffic system. The rapid population and economic growth in
95 the Eastern Province of KSA has led to an increase in traffic volume and eventually increase in
96 number of traffic crashes, ranking the province third with respect to road crashes in the KSA as
97 per Saudi Ministry of Interior (MOI) (1). As per the MOI, there were 8,182,794 road traffic crashes
98 between 1970 and 2011 in KSA, and over 12% of them were with injuries and over 2% of them
99 were with fatalities. In addition, the traffic accidents' victims occupy 30% of hospitals beds.
100 Further, the data for 2015 from MOI indicated that there are 28 traffic crash related deaths per
101 100,000 population every day, and 70 % of the victims are under 25 years of age. By this standard,
102 Saudi Arabia is ranked among one of the worst when it comes to the traffic safety. These statistics
103 are not reported by the MOI only, but the Global Status Report on World Safety by United Nations
104 (UN) summarizes similar findings for Saudi Arabia (2). Keeping this in view, the transportation
105 planning organizations in Eastern Province, in collaboration with researchers are trying to find
106 ways to improve traffic safety in the region. As part of this exercise, a comprehensive traffic safety
107 corridor study was conducted for the two major corridors of King Fahd bin Abdul Aziz Highway
108 and Abu Hadriyah Highway. The objective of the study was to evaluate the study area by
109 conducting analysis on various observed data on the two corridors including traffic counts, speed,
110 travel time, traffic crash data, and road safety audit data. This paper explains the sketch planning
111 level application of Highway Safety Manual (HSM) based crash prediction methods using road
112 safety audit data collected. The process ultimately shows on how much reduction in traffic crashes
113 on different segments of the corridors is possible if improvements are done to the corridors based
114 on the observed data.

115 Study Area

116 The King Fahd Bin Abdulaziz Corridor is one of the main highways in the province with 4 lanes
117 each direction; it passes through the Dammam metropolitan area including Dammam City Central
118 Business District (CBD) connecting with Al-Khobar City. The corridor also serves as one of the
119 few routes in the region which lead to Dammam International Airport. It is a principal arterial
120 surrounding the northeastern side of the Dammam Metropolitan area and represents the sub-urban
121 to urban areas. From the traffic congestion standpoint, it is characterized as highly congested and
122 has relatively higher number of traffic crashes and crash fatalities as compared to the other roads
123 in the Eastern Province (3). Due to multiple intersections along the King Fahd Corridor, there are
124 several weaving sections which cause traffic congestion during the peak traffic. The corridor also
125 serves the traffic heading to and from other surrounding areas, including international traffic
126 through the King Fahad Causeway, between Saudi Arabia and Bahrain, and the traffic going north
127 towards Kuwait, and other cities and provinces within the Kingdom as described in Figure 1. The
128 red colored line demarks King Fahd Highway Corridor while the black colored line demarks Abu
129 Hadriyah Highway (GCC Highway).

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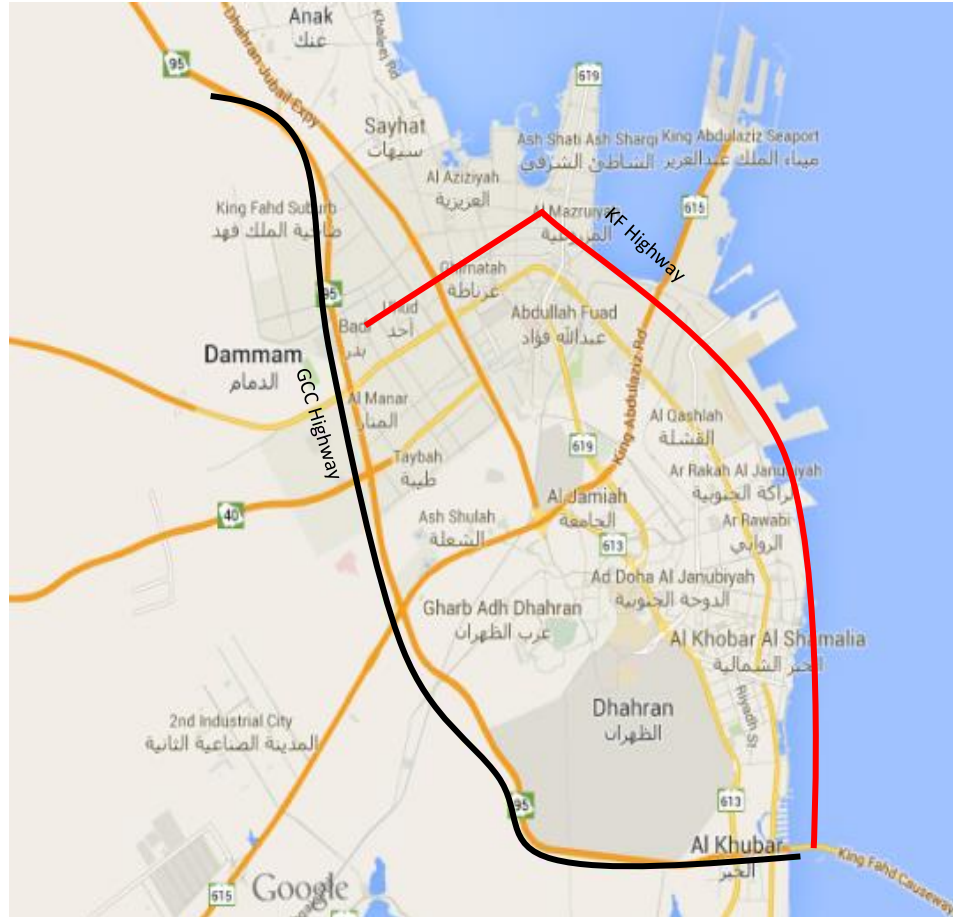


Figure 1 Study Area

147 The King Fahad Highway also known as Dammam-Khobar road is classified as a principal
148 arterial connecting the Cities of Khobar and Dammam. The posted speed limit along the corridor
149 is varying from 110 km/h to 70km/h in Tunnels and Khobar area. Most of the highway
150 intersections incorporate a highway grade separation (overpass or underpass), except for few
151 signalized intersections along the southern side at Khobar City. The typical section does not vary
152 along selected street. A six-lane curb-and-gutter section exists of total width of 30m with additional
153 left- and right-turn lanes at some locations. Sidewalks exist on both side on the service road in the
154 study area. Lane widths are 3.5m wide. Wider shoulders exist at the newly constructed segments,
155 at the northwestern stretch of this highway (from Dhahran/Jubail Intersection) to Al-Hadraya
156 intersection. There are about fifteen major intersections within the study corridor area. The spacing
157 between interchanges ranges from 0.25 km to 3.5km.

158 Abu Hadriyah Highway “Highway 95”, is a major highway in the Eastern Province of
159 Saudi Arabia. It extends from King Fahd Causeway, linking Bahrain to Saudi Arabia, to Kuwait's
160 borders serving major cities such as Dammam and Jubail. The highway also serves as an Eastern
161 border for King Fahad International Airport in Dammam. The section under consideration mainly

162 has four traffic lanes of lane width of 3.5 m and shoulders on both sides with two lanes service
163 road on each side of the highway from Abqiq intersection to Nabia village. From Abqiq
164 intersection to Bahrain causeway there is no service road only the main road of three lanes per
165 carriageway as shown in Figure 2 above.

166 **LITERATURE REVIEW**

167 The safety investigations process is a combination of scientific evaluation, the investigator's
168 knowledge and experience, and good judgment. The investigator is essentially piecing together
169 many clues as to why traffic safety issues occurred without having the benefit of any actual first-
170 hand knowledge. For instance, in case of traffic crashes, the investigator must glean clues from a
171 detailed analysis of crash data and a thorough investigation of field data. These clues can then be
172 evaluated by the investigator to identify preventable crashes. For these "target" crashes, the
173 investigator can identify feasible and effective countermeasures, make recommendations, and
174 document the entire process. In parallel to the in-depth investigation of crashes, the road safety
175 aspect is covered by conducting Road Safety Audits (RSA). RSA is defined as "the formal safety
176 performance examination of an existing or future road or intersection by an independent,
177 multidisciplinary team. It qualitatively estimates and reports on potential road safety issues and
178 identifies opportunities for improvements in safety for all road users." (4). Road Safety Audits are
179 undertaken by teams of specialists trained in the skills of accident investigation or road safety
180 engineering.

181 In addition to evaluations of crash data, and the RSA process, the Highway Safety Manual
182 (HSM) could also be used to get guidelines on the traffic safety evaluation methods. HSM was
183 published by the American Association of State Highway Transportation Officials (AASHTO) in
184 2010. It was developed to help measurably reduce the frequency and severity of crashes on
185 highways by providing tools for considering safety. The HSM provides transportation
186 professionals with knowledge, techniques, and methodologies to quantify the safety-related effects
187 of transportation decisions. Further, the HSM also assists practitioners in selecting
188 countermeasures and prioritizing projects, comparing alternatives, and quantifying and predicting
189 the safety performance of roadway elements considered in planning, design, construction,
190 maintenance, and operation (5). By using the HSM, practitioners can quantify crash frequency and
191 severity and integrate that information into roadway planning, design, operations, and maintenance
192 decisions. The highway Safety Manual (HSM) contains available information and methods on
193 evaluating road safety in terms of crash frequency based on practice.

194 Several studies have been carried out to evaluate the impact of Safety Performance
195 Functions (SPF) on the prediction of collision for road network. SPF as accident prediction models
196 provide professionals with data needed to conduct road safety impact assessment and network
197 safety ranking and accounting for a more realistic relationship between traffic volume and accident
198 occurrence. A research conducted in 2014 showed that the locally derived HSM based Crash
199 Modification Factors (CMFs) for fatal and injury data showed improvement over the HSM default
200 values in Riyadh (6). Further, the frequent angle parking in Riyadh urban road networks seems to

201 increase the fatal and injury collisions by 52 percent. They concluded that the framework provided
202 can be used by other GCC countries which in general have common driver behavior and design
203 standards. Another study was carried out a study in Hungary to define accident prediction models
204 for first-class main roads outside built-up areas using variables that are available and believed to
205 exert an influence on safety performance (7). They concluded that AADT, roadway width,
206 horizontal curve and segment length significantly influence accident frequency.

207 In 2013, Cafisco et al. compared the effect of choosing different segmentation methods,
208 they examined using short vs. long roadway segment to calibrate the SPF (8). In addition to the
209 segment selection criteria, new treatment types were also identified beside those which had been
210 included in the HSM. Sacchi et al in 2012 investigate the transferability of the HSM to Italy's road
211 network (9). They used cumulative residual plots for the AADT and for variables related to the
212 CMFs to assess the validity of the models. The results obtained suggested that the implementation
213 of the HSM techniques in road safety impact assessments across Europe should be oriented toward
214 the development of local SPFs and CMFs for the European context. The transferability assessment
215 techniques are relatively complex and require substantial data and analytical resources. Thus, the
216 techniques are not intended for routine use by practitioners who, in the absence of such an
217 assessment in an application context, should still apply the universal baseline HSM SPFs (with
218 local calibration) and CMFs.

219 In short, HSM predictive techniques and models have been studied in different states in
220 USA, such as Florida, Texas, Louisiana, and Oregon (10). Similar studies also have been carried
221 out in other countries, such as Italy, Hungary and Canada (11,12,13).

222 **METHODOLOGY**

223 *Background*

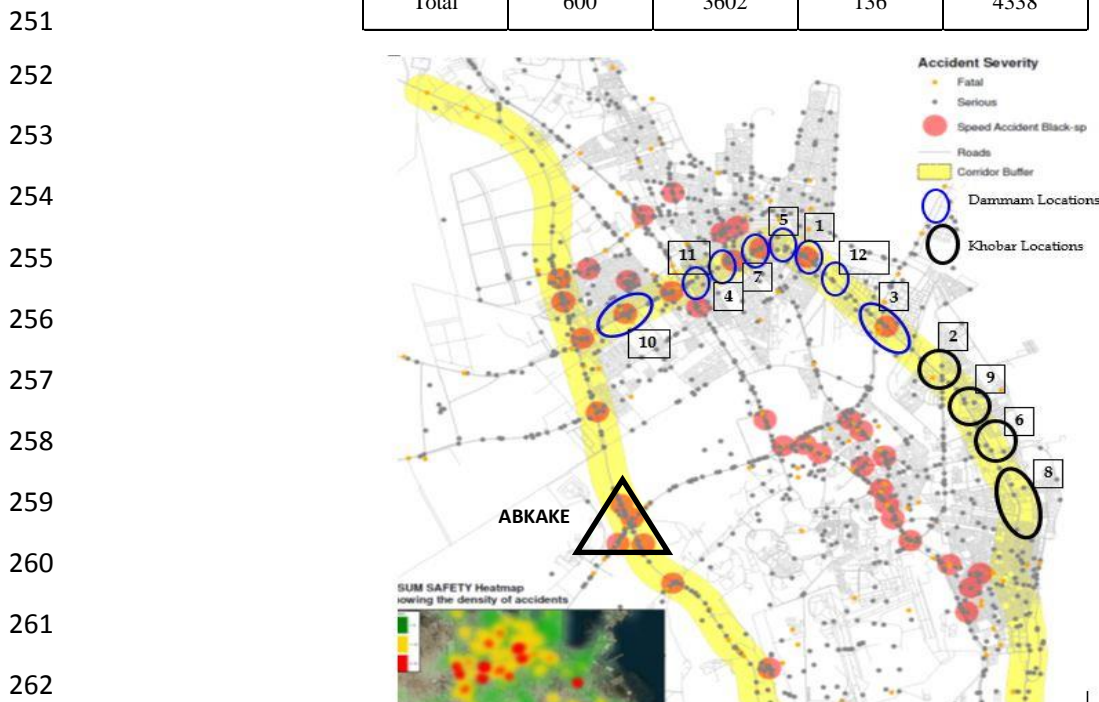
224 Evaluation of such road segments and intersections as defined by Figure 1 in the study area section
225 requires carefully crafted methodologies and techniques to assess their performance with respect
226 to traffic safety. Road Safety Audit (RSA) is a term used internationally to describe an independent
227 review of such projects to identify road or traffic safety concerns. RSA is a pro-active approach
228 with the primary aim of identifying potential safety problems as early as possible in the process so
229 that decisions can be made about eliminating or reducing the problems. The purpose of a Road
230 Safety Audit (RSA) is to identify potential hazards that may affect any type of road users. The
231 Road Safety Audit also identifies the appropriate measures to eliminate or mitigate road and traffic
232 safety hazards.

233 This paper aims to apply the HSM based crash prediction methods on two main corridors
234 in the Eastern Province of Kingdom of Saudi Arabia using RSA data collected in order to estimate
235 the reduction in crashes when improvements are made with respect to RSA data findings. For this
236 aim RSA survey were tabulated on the two corridors. Highway Safety Manual based crash
237 prediction method was used to estimate reduction in number of crashes as compared to observed
238 data because of the proposed improvements. Appropriate HSM methods were selected and

239 calibrated by taking into account the road condition and local conditions. The RSA team evaluated
 240 various data collected for the two corridor studies to identify the problem-spots with respect to
 241 traffic safety. Based on the Geographic Information Systems (GIS) evaluations process of Crash
 242 Data shown in Table 1, Traffic Volume Data, Spot Speed Data, Travel Time Data, and User
 243 Questionnaire data, the team identified 12 locations along King Fahd Highway, and 4 interchanges
 244 on Abu Hadriyah (GCC highway) including King Saud, King Fahd, Riyadh, and Abkake
 245 Interchanges as the key points for traffic safety concerns. Figure 2 shows the 12 locations in ovals
 246 and corresponding segments on King Fahd Highway and Abkake Interchange location in black
 247 triangle on GCC Highway, selected for Road Safety Audit and the HSM based crash prediction
 248 methods application. In addition, Figure 3 displays the 4 interchanges where the RSA was
 249 conducted on GCC highway.

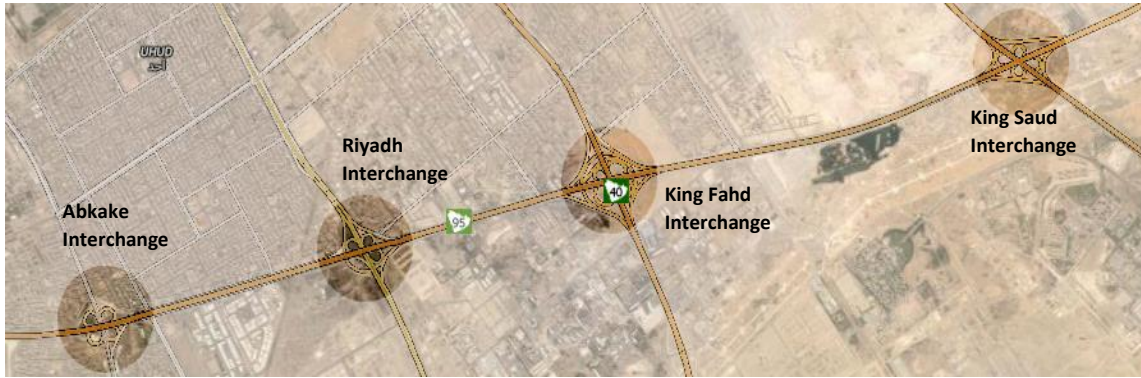
250 **Table 1 Crash data by year and by crash severity**

Crash Data By Severity				
Severity	Fetal	Major	Minor	Total
2009	82	216	2	300
2010	64	246	2	312
2011	62	382	5	449
2012	222	1381	64	1667
2013	170	1377	63	1610
Total	600	3602	136	4338



263 **Figure 2 Safety Audit Survey Intersection and Segment Locations for the two corridors**

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270 Figure 3 Road Safety Audit Survey Interchange Locations

271 *HSM Crash Prediction Method*

272 As per the HSM crash prediction method, the expected collision frequencies calculated by using
273 the baseline safety performance functions (SPFs) must be modified with CMFs listed in the HSM
274 Part D to help account for differences in features from the baseline conditions, such as lane or
275 shoulder width for two-lane roads (HSM 2010). Equation (1) summarizes the predictive crash
276 modelling procedure to predict the number of collisions ($N_{\text{predicted}}$) at a site before the
277 application of this method:

278

$$279 \quad N_{\text{predicted}} = N_{\text{SPF}} \times (\text{CMF1} \times \text{CMF2} \times \text{CMF3} \dots \text{up to} \dots \times \text{CMF6}) \times C \quad (1)$$

280 Where:

281 $N_{\text{predicted}}$ = predictive average crash frequency for given conditions.

282 N_{SPF} = predicted average crash frequency for given conditions on intersection.

283 CMF = crash modification factor.

284 C = calibration factor

285 The calibration factor can be calculated from the total number of crashes for a sample set
286 from the jurisdiction of interest divided by the sum of the predicted crashes for the sample by using
287 Equation (1) without the calibration factor. Minimum sample sizes of 30 - 50 sites, with 100
288 crashes per year can be used for calibration procedure. However, there is no methodology to assess
289 the validity of the results. The Average Annual Daily Traffic (AADT) volumes and geometric
290 characteristics of the road are the main data required for the use of the HSM models. According to
291 the HSM, a highway must be divided into individual homogenous roadway segments with a
292 minimum length of 160 meters to apply Equation (1) above. The observed data was combined with
293 satellite imagery and various GIS map layers to estimate parameters such as driveway density,
294 roadside hazard rating, lane width, shoulder width and type.

295

296 *The Field Study*

297 During the field-study at least three persons participated in the evaluation. The driver read off the
298 trip meter, where obstacles or hazards are identified when driving along the corridor. The observers
299 identified different obstacles and hazards along the road and took notes about the locations. During
300 the trip, the observer made comments on observations. Every time a deficiency or hazardous
301 obstacle is observed, the observer recorded what kind of deficiency or obstacle it is. The driver
302 tells the reading of the trip meter. The observers also made an audio-video recording. Audio
303 recording makes it easy to use the video to add more information to the investigation form in the
304 back office. Sometimes it is necessary to stop the car and take a more detailed look of the obstacle,
305 for example, to determine the distance from the road to the obstacle or to take additional photos.
306 The photos were then helpful in describing the obstacle in more details. Some of the photos also
307 be used as illustrations in the report. From the notes of the field-study, information that can be
308 gathered from the videotape were added. The collected data was then tabulated and using HSM
309 factors to predicts the crash using different scenarios.

310 **RESULT AND DISCUSSIONS**

311
312 *Application of HSM on Two Corridor Study Area*







313 Based on the data collected from the RSA forms, and from the recorded suggestions for
314 improvement to the given traffic safety concerns thereof, Crash Modification Factors were selected
315 from the HSM manual on CMF. Those CMFs were then applied to the equation 1 explained above,
316 and improvements were estimated in terms of reductions in crashes as explained in Figure 3 for
317 each segment and intersection along the corridor. For each location, a detailed RSA was
318 performed. Data was collected on the RSA asset collection instrument which was developed in
319 consultation with the RSA team and the possible traffic safety concerns for the selected
320 intersection or road segmented. The information was recorded in the form of written comments,
321 images, and in some cases video recorded data was also collected and archived into the saved
322 database for each location.

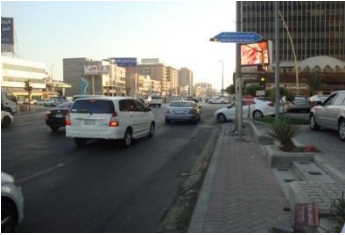


323 During the collection of data, various CMFs for HSM application were also kept in mind, as they
324 will be later used for the HSM application and analysis. All the data collected was archived into
325 an electronic file format in the main servers, as well was saved into Compact Disc ROM format,
326 and DVD format as well. For this research paper twelve intersections, 4 interchanges and
327 corresponding road segments have been studied thoroughly and detailed road safety audit survey
328 have been carried out and tabulated as samples of findings summarized in Table 2 below. Based
329 on findings a Highway Safety Manual was used to predict number of crash accident. An
330 appropriate HSM methods were chosen and calibrated taken into account the road condition and
331 national factor.




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Table 2 Observations and samples of findings at different locations.

No exclusive Right turn lane for traffic	
Bad pavement condition and road marking	
On street parking, just at corner creating hazards for right turn traffic	
Bad and in proper cover for manholes on crosswalk	
Exit problems at night and violators using the exit to enter the main traffic	
Driver cross at red light	

<p>Car park exit open at the intersection creating safety problem</p>	
<p>Through traffic can blocked right turn traffic</p>	
<p>Road marking for exit and no reflector signs at night on ramps</p>	
<p>Blocking the exit to prevent driver to use it as an entrance but still damaged blocked area used for entering the main traffic</p>	

<p>problem of exit and guard rail hazards</p>	
<p>Driving on shoulder and Unprotected side for high embankment on ramps</p>	
<p>Unsafe side walk with blocking left lane to give room for U-turn traffic</p>	

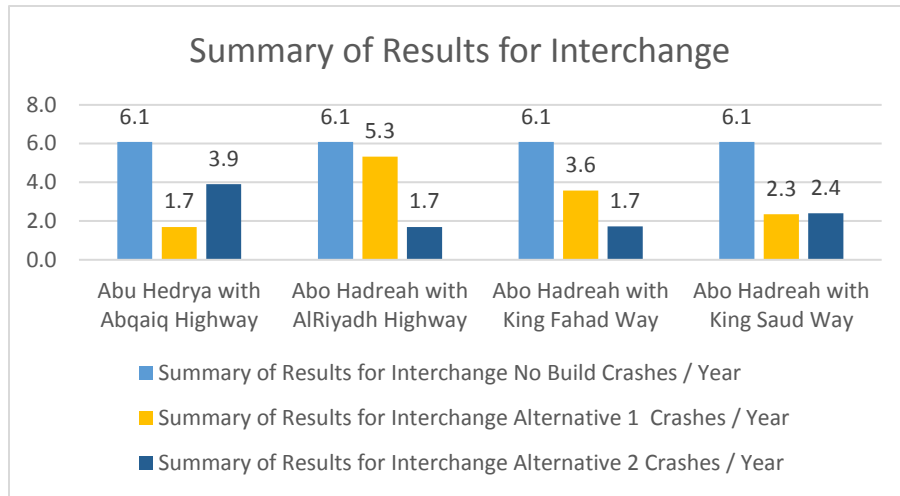
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336 *Prediction of Crashes using HSM for the Two Corridors*

337 Assumptions for CMF are proposed based on the intersection condition and performance. Two
 338 scenarios were proposed using different modifications factors to increase safety for the
 339 interchanges and intersections. First scenario based on geometric improvements to the facilities,
 340 while the second scenario included improvements to the traffic signal controls as well as geometric
 341 design improvements. The effects of geometric design and traffic control features on crash
 342 frequency are accounted through the CMFs while the effect of traffic volume (AADT) is
 343 considered through the SPF itself. Specifically, any feature associated with higher average crash
 344 frequency than the SPF base condition shows a CMF with a value greater than 1.00; any feature
 345 associated with lower average crash frequency than the SPF base condition shows CMF value less
 346 than 1.00. HSM Predictive method was applied on each of the 12 locations and related road
 347 segments. The CMFs were selected from the HSM based on the traffic safety related issues
 348 reported on the RSA Asset Data collection forms.

349 Figure 4, 5, and 6 show summaries of results for interchanges, intersections, as well as road
 350 segments. Figure 4 shows that out of the two scenarios, the second scenario reduced the number

351 of traffic crashes to maximum except for Abu Hadriyah interchange where the scenario 1 with
 352 only geometric improvements demonstrate maximum reduction in crashes.

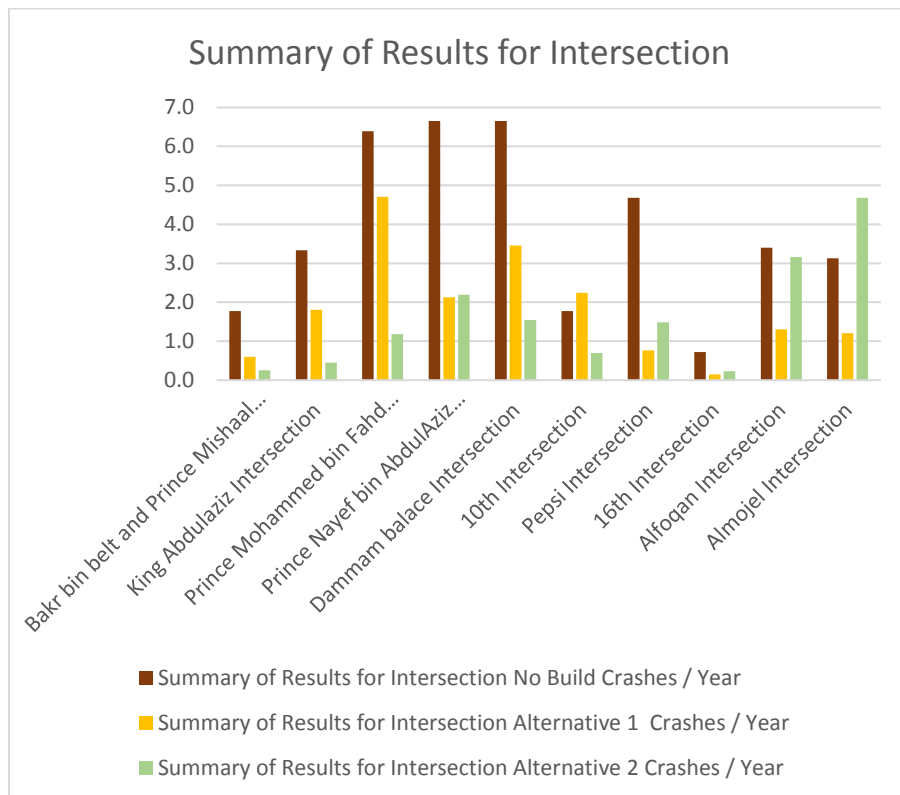


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Figure 4 Summary of Results for Interchange

355 Figure 5 shows the maximum reduction in crashes at all intersections for scenario two except
 356 Alfoqan intersection and Almojil intersection show maximum reduction in crashes with scenario
 357 1 where only geometric improvements were proposed.

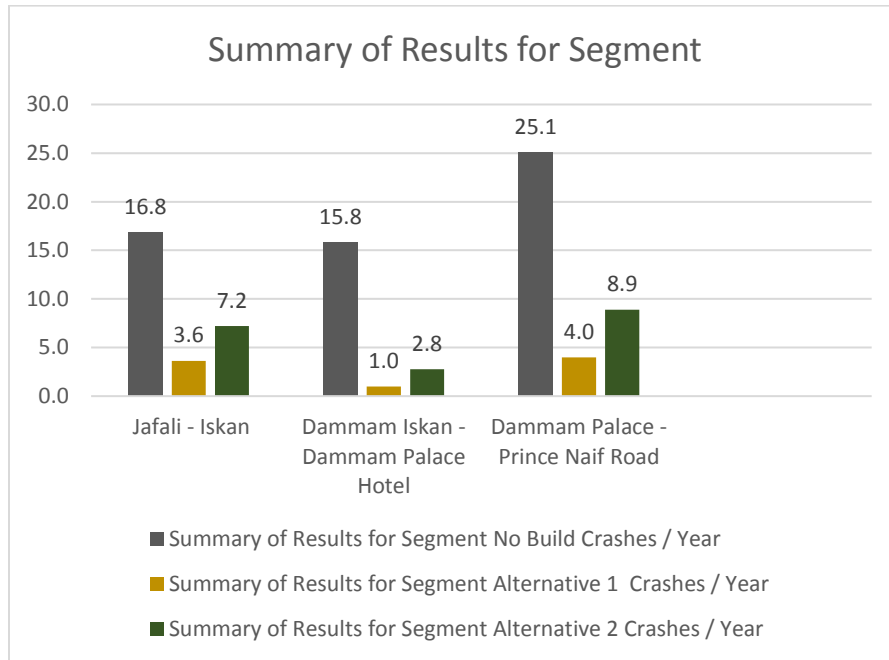


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Figure 5 Summary of Results for Intersection

360 Figure 6 shows that the maximum crash reduction at the road segments is for scenario 1 where the
361 road segment between Dammam Iskan and Dammam Palace dropped from 15.8 to 1.0. The road
362 segment between Dammam Palace and Prince Naif road also showed significant drop in crashes
363 in scenario 1 from 25.1 to 4.0.



364

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Figure 6 Summary of Results for Segments

366 *Notable Observations from RSA*

367 During the Road Asset survey data collection process, the team found several serious traffic
368 safety related issues throughout the study area. Some of them included the following:

369

370 1. Most of the road segments and intersections surveyed by the RSA team showed poor road
371 markings. In many cases the road markings have completely vanished posing a serious
372 traffic safety concern. There could be many reasons of poor quality road markings
373 including the number of vehicles passing through, the age of the striping, and quality of the
374 striping material.

375

376 2. At several places the walk area had pot holes, coverless man holes/gutters, and in some
377 cases, broken patches of the surface that extend all the way to the road surface. The walk
378 areas should be maintained up to highest standards, that way the pedestrians don't have to
379 get off the walk way and walk on the road

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381 3. Angled Parking (60 degree) is one of the main factors causing traffic congestion and traffic
382 safety related issues on the roads in the study area. It should not be allowed, and should be
383 strictly enforced

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4. At almost all the intersections, the location of the traffic signal controls are on the nearer side of the intersection instead of farther side. This poses a potential traffic safety risks. The signal controls should be installed at appropriate locations.
5. At many intersections, or weaving locations the traffic signs showing posted speeds or yield message were found missing. The signs should be properly installed; this will help make the roads safer.
6. Traffic signal control timings at many of the intersections are out, or not at least optimized for the intersection. Optimizing the signal control timings especially during the peak period flows will mitigate the traffic congestion significantly and will also help reduce the cycle failure problem at many of the intersections during the peak periods.
7. Pedestrian Zebra Crossing should be provided at every intersection with enough gap between the traffic stopped at the red signal light and the pedestrian. At several locations surveyed, the Zebra crossing markings were in poor conditions, and the crossings were not strategically made to assist the pedestrians or wheel chair users.
8. Where possible, provide pedestrian signals at the zebra crossing. This will ensure maximum safety of the pedestrians.
9. Exclusive lanes should be provided as part of the improvement process for the U-turn and left turn traffic movement. Between the U turn and the left turn, the two traffic streams should also be separated way before reaching the intersection. This will save the through movements from excessive long queues.

410 **ACKNOWLEDGEMENTS**

411 The authors acknowledge Saudi Aramco Chair for Traffic Safety Research at the University of
412 Dammam for supporting this research. The cooperation of all stakeholders like Municipalities,
413 Amana, Traffic Police Department, Ministry of Transport and Ministry of Interior, who kindly
414 made the data sets available and made the data available for the analysis.

415 **CONCLUSIONS**

416
417 The HSM application of predictive method shows that if the improvements are made to the KF
418 corridor based on the suggestions provided on the Asset Data Collection forms, and based on the
419 Crash Modification factors identified, there could be an overall drop in crashes by down to 34%.
420 This percentage may vary based on the types of crash modification factors introduced to the area.
421 Thoroughly surveying and studying of twelve intersections and segments on King Fahad highway
422 and Abu Hadriyah Highway (GCC Highway) evaluating their performance, it was found that
423 several car accidents happen due to engineering design issues of the intersections or road segments.

424 Road engineers generally should pay attention to driveways design, and on street parking
425 management. HSM based Crash Modification Factors were used to predict traffic crashes. Most
426 of the results indicates positive outcomes. Two scenarios were considered for each intersection,
427 each scenario having different CMF. The applied models and scenarios can be used to estimate
428 severe collisions at segments between intersections.

429 Based on road safety audit carried out for the two corridors several countermeasures can
430 be proposed to reduce the severe crashes, such as: control exits and entrances, Limiting the number
431 of driveways, using of acceleration and deceleration lanes that are of sufficient length to
432 accommodate speed changes, and the weaving and maneuvering of traffic, Ensure sufficient
433 distance/spacing between driveways to provide drivers sufficient perception time to identify
434 locations where they expect another conflict point, median width can be reduced to the standard
435 width in order to increase the lane widths.. This study is one of the attempts to investigate the
436 applicability of calibrating HSM models and developing new models in the KSA.

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